

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 5-93)		ATTORNEY'S DOCKET NUMBER 1581.0580000/RWE/KKV
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371</b>		U.S. APPLICATION NO. (IF KNOWN, SEE 37 C.F.R. § 1.5) To be assigned <b>09/529130</b>
INTERNATIONAL APPLICATION NO PCT/GB98/03001	INTERNATIONAL FILING DATE 7 October 1998	PRIORITY DATE CLAIMED 8 October 1997
TITLE OF INVENTION <b>Conjugates of Galactose-Binding Lectins and Clostridial Neurotoxins as Analgesics</b>		
APPLICANT(S) FOR DO/EO/US <b>DUGGAN, Michael John and CHADDOCK, John Andrew</b>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. § 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. § 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. § 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 372(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).</li> </ol> <p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. § 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: 1.) Copy of the published International Application, 2.) Copy of the International Preliminary Examination Report and annexes thereto, 3.) Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3)</li> </ol>		

U.S. APPLICATION NO (if known, see 37 C.F.R. 1.50)		INTERNATIONAL APPLICATION NO		ATTORNEY'S DOCKET NUMBER	
To be assigned <b>09/529130</b>		PCT/GB98/03001		1581.0580000/RWE/KKV	

17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO ..... \$840.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) . \$670.00					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$690.00					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT</b> =				\$ 840.00	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	55 - 20 =	35	X \$18.00	\$ 630.00	
Independent Claims	1 - 3 =		X \$78.00	\$ 0	
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$ 0	
<b>TOTAL OF ABOVE CALCULATIONS</b> =				\$ 630.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$	
<b>SUBTOTAL</b> =				\$ 1,600.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE</b> =				\$ 1,600.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED</b> =				\$ 1,600.00	
				<b>Amount to be:</b> <b>refunded</b>	\$
				<b>charged</b>	\$

a. ☒ A check in the amount of \$1,600.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit Under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO: STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, NW, Suite 600 Washington, D.C. 20005-3934	<div style="text-align: center;"> <div style="display: flex; justify-content: space-between; font-size: x-small;"> <span>Signature</span> <span>Date</span> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <span>Kristin K. Vidovich</span> <span>April 7, 2000</span> </div> </div> <div style="text-align: center; margin-top: 10px;"> <div style="display: flex; justify-content: space-between; font-size: x-small;"> <span>Type Name</span> <span>Registration Number</span> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <span></span> <span>41,448</span> </div> </div>
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DUGGAN, Michael John and  
CHADDOCK, John Andrew

Appl. No To Be Assigned

Filed: Herewith

For: **Conjugates of Galactose-Binding  
Lectins and Clostridial  
Neurotoxins as Analgesics**

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket: 1581.0580000/RWE/KKV

**Preliminary Amendment**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In connection with the entry of U.S. National Phase of the above-captioned International Application, filed herewith, and in advance of prosecution, please amend the application as follows:

***In the Abstract:***

Please add the attached abstract to the end of the specification.

***In the Claims:***

Please amend the following claims:

In claim 4, line 1, delete "any preceding Claim" and insert therefor -- Claim 1--.

In claim 5, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 6, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 7, line 1, delete "any previous Claim" and insert therefor --Claim 1--.

In claim 8, line 1, delete "the previous Claim" and insert therefor --Claim 1--

In claim 11, line 1, delete "Claims" and insert therefor --Claim--.

In claim 12, line 1, delete "Claims" and insert therefor --Claim--.

In claim 13, line 1, delete "Claims" and insert therefor --Claim--.

In claim 14, line 1, delete "1-6" and insert therefor --1--.

In claim 15, line 1, delete "1-6" and insert therefor --1--.

In claim 17, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 18, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 19, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 20, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 21, line 1, delete "any preceding claim" and insert therefor --Claim 1--.

In claim 22, line 1, delete "any of Claims 1-20" and insert therefor --Claim 1--.

In claim 23, line 1, delete "any of Claims 1-20" and insert therefor --Claim 1--.

In claim 25, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 26, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 27, line 1, delete "Claims 1-25" and insert therefor --Claim 1--.

In claim 28, lines 1-2, delete "any of Claims 1, 2, or 4-25 (except when dependent on Claim 3)" and insert therefor --Claim 1--.

In claim 32, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 35, line 1, delete "Claims 33 and 34" and insert therefor --Claim 33--.

In claim 36, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 37, line 1, delete "any of Claims 1-35" and insert therefor --Claim 1--.

In claim 38, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 39, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 40, line 1, delete "any of Claims 1-38" and insert therefor --Claim 1--.

In claim 41, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 42, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 44, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 45, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 46, line 1, delete "any preceding Claim" and insert therefor --Claim 1--.

In claim 47, line 1, delete "any of Claims 1-45" and insert therefor --Claim 1--.

In claim 48, line 1, delete "or 47."

In claim 49, line 1, delete "or 47 "

In claim 50, line 1, delete "any of Claims 1-45" and insert therefor --Claim 1--.

In claim 51, lines 2-3, delete "any one of Claims 1-45" and insert therefor --Claim 1--.

In claim 52, lines 2-3, delete "any one of Claims 1-45" and insert therefor --Claim 1--.

In claim 53, line 1, delete "or Claim 52."

In claim 54, lines 1-2, delete "any one of Claims 1-45" and insert therefor --Claim 1--.

In claim 57, line 2, delete "any one of Claims 1-45" and insert therefor --Claim 1--.

Please cancel claims 55 and 56.

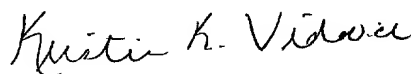
***Remarks***

Upon entry of the foregoing amendment, claims 1-54 and 57 are pending in the application, with claim 1 being the independent claim. Claims 55 and 56 are sought to be cancelled without prejudice to or disclaimer of the subject matter therein. The claims have been amended to conform to acceptable U.S. format and to correct multiple dependencies and the specification has been amended to direct the entry of an abstract which is identical to the PCT abstract. The amendments add no new matter and are supported by the original claims and the specification.

It is believed that this application is now in condition for examination. Early notice to this effect is respectfully requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Kristin K. Vidovich  
Attorney for Applicants  
Registration No. 41,448

Date: Aug. 17, 2000

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P95-60.wpd

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Conjugates of galactose-binding lectins and clostridial  
neurotoxins as analgesics.

**Technical field**

5 This invention relates to a class of novel agents that are  
able to modify nociceptive afferent function. The agents  
may inhibit the release of neurotransmitters from discrete  
populations of neurones and thereby reduce or preferably  
prevent the transmission of afferent pain signals from  
peripheral to central pain fibres. The agent may be used  
10 in or as a pharmaceutical for the treatment of pain,  
particularly chronic pain.

**Background**

15 The sensation of pain due to injury or disease is carried  
from the periphery to the brain by a multi-neuronal  
pathway. The first part of this system comprises the  
primary nociceptive afferents that form synapses with  
secondary neurones in the dorsal horn of the spinal cord,  
or the nuclei of the cranial nerves. These synapses pass  
on the incoming information by the release of  
20 neurotransmitters and neuromodulators such as glutamate  
and substance P. These synapses are, therefore, possible  
sites for intervention to alleviate pain, indeed one of  
the modes of action of the opiate analgesics is to down-  
modulate neurotransmitter release at these synapses.

25 Unfortunately, the opiates have a number of limitations as  
drugs. Firstly, there are a number of chronic pain  
conditions for which the opiates are not effective.  
Secondly, the opiates have a number of side effects that  
are mediated both peripherally (constipation) and  
30 centrally (respiratory depression and euphoria) which  
present problems for long term use.

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There is, therefore, a need for the development of new pharmaceuticals for the treatment of pain, particularly chronic pain.

One approach to this problem is the use of new agents  
5 containing fragments of clostridial neurotoxins  
(WO96/33273).

The clostridial neurotoxins are proteins with molecular masses of the order of 150 kDa. They are produced by various species of bacterium of the genus *Clostridium*,  
10 most importantly *C. tetani* and several strains of *C. botulinum*. There are at present eight different classes of the neurotoxins known: tetanus toxin, and botulinum neurotoxin in its serotypes A, B, C<sub>1</sub>, D, E, F and G, and they all share similar structures and modes of action.  
15 The clostridial neurotoxins are synthesised by the host bacterium as single polypeptides that are modified post-translationally to form two polypeptide chains joined together by a disulphide bond. The two chains are termed the heavy chain (H), which has a molecular mass of  
20 approximately 100 kDa, and the light chain (L), which has a molecular mass of approximately 50 kDa.  
Two distinct functions can be identified within the H-chain; binding and translocation. The carboxy-terminal half (H<sub>C</sub>) is involved in the high affinity, neurospecific  
25 binding of the toxin to cell surface acceptors, whilst the amino-terminal half (H<sub>N</sub>) is central to the translocation of the toxin into the neuronal cell. For botulinum neurotoxin type A these domains are considered to reside within amino acid residues 872-1296 for the H<sub>C</sub>, amino acid  
30 residues 449-871 for the H<sub>N</sub> and residues 1-448 for the LC. The minimal domains necessary for the activity of the light chain of clostridial toxins are described in J. Biol. Chem. Vol.267, No.21, July 1992, pages 14721-14729. The eight distinct neurotoxin light chains (L) are highly  
35 specific zinc-dependent endopeptidases which each



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hydrolyse different but specific peptide bonds in one of three substrate proteins, synaptobrevin, syntaxin or SNAP-25. These substrates are important components of the neurosecretory machinery. The hydrolytic activity of the clostridial toxins results in a prolonged muscular paralysis. The functions of all three identified domains are necessary for the toxic activity of the clostridial endopeptidases.

Some of the clostridial endopeptidases, most notably botulinum neurotoxin type A, have been used as pharmaceutical agents for the treatment of a range of muscle dystonias. The flaccid paralysing action of the native botulinum toxins makes them appropriate for this use.

The use of fragments of clostridial neurotoxins for the desired purpose of analgesia is dependent on the invention of conjugates, or derivatives of these molecules, with a specific binding activity that will deliver the L-chain endopeptidase to the nociceptive afferent neurons in preference to other neurones in the relevant anatomical locus. Delivery of these conjugates includes binding to the cell surface, internalisation via an endosomal compartment and translocation of the clostridial endopeptidase activity into the cytosol.

Targeting of extracellular species to specific intracellular locations following endocytosis involves an appreciation of a number of possible targeting strategies. It is understood that early endosomes are part of the key sorting mechanisms of the cell, routing species to late endosome (and onto lysosomes for degradation), recycling to the cell surface or to the Trans-Golgi Network. Intracellular routing determinants have been suggested that determine the pathway and final destination of

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particular species (Mellman, 1996, Annu. Rev. Cell Biol., 12, 575-625).

Current data suggests that translocation of native clostridial neurotoxins occurs from an acidic intracellular compartment, though the exact location and nature of the compartment is unknown (Montecucco & Schiavo, 1994, Mol. Micro. 13, 1-8). In patent WO96/33273 it is proposed that for an agent to be effective, the agent must target to an appropriate compartment for translocation of the toxin. As an example of specific intracellular targeting, internalisation of the NGF-receptor is by specific endocytosis and retrograde routing (initiated by receptor-ligand complex), via acidic endosomes to the cell body, and an agent incorporating NGF is given in support of WO96/33273.

#### Statement of Invention

The present invention relates to an agent that can reduce and preferably prevent the transmission of pain signals from the periphery to the central nervous system, thereby alleviating the sensation of pain. Specifically, the invention can provide an agent that can reduce and preferably prevent the transmission of pain signals from nociceptive afferents to projection neurones. More specifically, the invention can provide an agent that can inhibit the exocytosis of at least one neurotransmitter or neuromodulator substance from at least one category of nociceptive afferents.

In one aspect of the invention, an agent is provided which can be administered to the spinal cord, and which can inhibit the release of at least one neurotransmitter or neuromodulator from the synaptic terminals of nociceptive afferents terminating in that region of the spinal cord.

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In a second aspect of the invention, there is provided an agent which can specifically target defined populations of afferent neurones, so that the effect of the agent is limited to that cell type.

- 5 In a third aspect of the invention, there is provided a method of treatment of pain that comprises administering an effective dose of the agent according to the invention.

- 10 In a fourth aspect of the invention, the agent can be expressed recombinantly as a fusion protein that includes the required components of the agent.

#### Definitions

Without wishing to be limited by the definitions set down, it is intended in this description that the following terms have the following meanings:

- 15 Light chain means the smaller of the two polypeptide components of any of the clostridial neurotoxins. It is commonly referred to as the L-chain or simply L. An L-chain has a molecular mass of approximately 50 kDa, and it is a metalloprotease exhibiting high substrate specificity  
20 for vesicle and/or plasma membrane associated proteins involved in the exocytotic process.

- Heavy chain means the larger of the two polypeptide components of any of the clostridial neurotoxins. It is commonly referred to as H-chain or simply H and has a  
25 molecular mass of approximately 100 kDa.

H<sub>c</sub> fragment means a peptide derived from the H-chain of a clostridial neurotoxin which is responsible for binding of the native holotoxin to cell surface acceptor(s) involved in the intoxicating action of clostridial toxin prior to

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internalisation of the toxin into the cell. It may be approximately equivalent to the carboxy-terminal half of the H-chain, or the domain corresponding to that fragment in the intact H-chain.

5  $H_N$  fragment means a fragment derived from the H-chain of a clostridial neurotoxin approximately equivalent to the amino-terminal half of the H-chain, or the domain corresponding to that fragment in the intact H-chain. It is characterised as:

10 A portion of the H-chain which enables translocation of that portion of the neurotoxin molecule such that a functional expression of light chain activity occurs within a target cell.

The domain responsible for translocation of the  
15 endopeptidase activity, following binding of neurotoxin to its specific cell surface receptor via the binding domain, into the target cell.

The domain responsible for formation of ion-permeable pores in lipid membranes under conditions of low pH.

20 The domain responsible for increasing the solubility of the entire polypeptide compared to the solubility of light chain alone.

$LH_N$  means a fragment derived from a clostridial neurotoxin that contains the L-chain, or a functional fragment  
25 thereof, coupled to a  $H_N$  fragment.

BoNT/A means botulinum neurotoxin serotype A, and is a neurotoxin produced by *Clostridium botulinum*; it has a molecular mass of approximately 150kDa.

$LH_N/A$  is  $LH_N$  that is derived from *Clostridium botulinum*  
30 neurotoxin type A.

Targeting Moiety (TM) means any chemical structure of an agent which functionally interacts with a binding site

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causing a physical association between the agent and the surface of a primary sensory afferent.

Primary sensory afferent is a nerve cell that can carry sensory information from the periphery towards the central nervous system.

Primary nociceptive afferent is a nerve cell that can carry sensory information from the periphery towards the central nervous system, where that information can result in a sensation of pain.

Lectin is any protein that binds to oligosaccharide structures.

Galactose-binding lectin is a lectin that binds to oligosaccharide structures in which the terminal residue is derived from galactose or N-acetylgalactosamine.

#### Detailed Description of the Invention

It can be seen from this disclosure that an agent for reducing or preventing the transmission of pain signals from peripheral, nociceptive afferent neurones to projection neurones has many potential applications in the reduction of the sensation of pain, particularly of severe chronic pain.

Lectins are a class of proteins, often glycoproteins, that bind to carbohydrate structures. Lectins are found across the whole range of life forms from viruses to mammals. The most commonly exploited sources are the abundant lectins found in the seeds of plants. Lectins have previously been labelled and used as cell surface markers.

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According to the invention, there is provided an agent that can inhibit the release of at least one neurotransmitter or neuromodulator or both from the synaptic terminals of nociceptive afferents.

5 It is known that such an agent can be produced based on the use of fragments of clostridial neurotoxin conjugated to a targeting ligand (WO96/33273). Given the known complexity of intracellular transport and the constraints on construct requirements, it is surprising that  
10 conjugates between toxin fragments and a specific subclass of lectins that bind only to galactosyl residues form agents to produce analgesics that are particularly potent and selective. Inventions incorporating such lectins are the subject of this disclosure and several  
15 examples are provided.

One example of a class of plant-derived, galactose-binding lectins are those that can be purified from the seeds of the genus *Erythrina*. These lectins have been characterised to exist predominantly as non-covalent  
20 dimeric proteins with total molecular weights of approximately 60 kDa. Lectins have been isolated from several *Erythrina* species including: *E. corallodendron* (Gilboa-Garber and Mizrahi, 1981, Can. J. Biochem. 59, 315-320), *E. cristagalli* (Iglesias et al., 1982, Eur. J. Biochem. 123, 247-252), *E. indica* (Horejsi et al., 1980, Biochim. Biophys. Acta 623, 439-448), *E. arborescens*, *E. suberosa*, *E. lithosperma* (Bhattacharyya et al., 1981, Archiv. Biochem. Biophys. 211, 459-470) *E. caffra*, *E. flabelliformis*, *E. latissima*, *E. lysistemon*, *E. humeana*,  
25 *E. perrieri*, *E. stricta*, and *E. zeyheri* (Lis et al., 1985, Phytochem. 24, 2803-2809).  
30

These lectins have been analysed for their selectivity for saccharide binding (see e.g. Kaladas et al., 1982, Archiv. Biochem. Biophys. 217, 624-637). They have been found to

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bind preferentially to oligosaccharides with a terminal  $\beta$ -D-galactosyl residue.

A second example of a plant-derived, galactose-binding lectin with the desired binding specificity can be  
5 obtained from *Glycine max* (soy) beans. This lectin (soya bean agglutinin, SBA) is a tetrameric protein with a total molecular weight of approximately 110 kDa. It binds to oligosaccharides containing galactose or N-acetylgalactosamine residues.

10 An example of a galactose-binding lectin from bacteria is PA-I, obtained from *Pseudomonas aeruginosa*. PA-I is a D-galactosephilic lectin with a molecular weight of about 13 kDa and it binds to galactose-containing oligosaccharides (Gilboa-Garber and Mizrahi, 1981, Can. J. Biochem. 59,  
15 315-320).

These and other lectins of the sub-class of galactose-binding lectins can be used as targeting moieties (TM) for conjugates of the type described in WO96/33273. The requirements for TMs in these agents are that they show  
20 specificity for the primary sensory afferents over other spinal nerves and that they lead to the internalisation of the agents into an appropriate intracellular compartment. The lectins of this invention fulfil these criteria. Surprisingly, in comparison to other lectins of  
25 WO96/33273, they can fulfil these criteria more efficiently and can provide agents with enhanced selectivity for nociceptive afferent neurosecretion.

Thus, in one embodiment of the invention a galactose-binding lectin is conjugated, using linkages that may  
30 include one or more spacer regions, to a derivative of the clostridial neurotoxins.

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In another embodiment of the invention the agent is expressed in a recombinant form as a fusion protein. The fusion protein may be derived from nucleic acid encoding an appropriate fragment of a galactose-binding lectin, in addition to any desired spacer domains, with nucleic acid encoding all or part of a polypeptide of one serotype of neurotoxin. Such a nucleic acid may be a chimera derived from the nucleic acid encoding polypeptides from more than one serotype.

In another embodiment of the invention the required  $LH_N$ , which may be a hybrid of an L and  $H_N$  from different clostridial toxin serotypes, is expressed as a recombinant fusion protein with the galactose-binding lectin, and may also include one or more spacer regions.

In a further embodiment of the invention the required TM, L or  $LH_N$  and translocation domain components may be separately expressed in a recombinant form and subsequently linked, covalently or non-covalently, to provide the desired agent.

In a further embodiment of the invention the required translocation domain may be of a non-clostridial origin, comprising instead a peptide or other entity capable of similar or enhanced function. Examples would include, but not be restricted to, the translocation domain of diphtheria toxin (O'Keefe et al., Proc. Natl. Acad. Sci. USA (1992) 89, 6202-6206 ; Silverman et al., J. Biol. Chem. (1993) 269, 22524-22532), the translocation domain of *Pseudomonas* exotoxin type A (Prior et al. Biochemistry (1992) 31, 3555-3559), the translocation domains of anthrax toxin (Blanke et al. Proc. Natl. Acad. Sci. USA (1996) 93, 8437-8442) and a variety of fusogenic or hydrophobic peptides of translocating function (Plank et al. J. Biol. Chem. (1994) 269, 12918-12924).



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### Exploitation in Industry

The agent described in this invention can be used *in vivo*, either directly or as a pharmaceutically acceptable salt, for treatment of pain.

5 For example, an agent according to the invention can be administered by spinal injection (epidural or intrathecal) at the level of the spinal segment involved in the innervation of an affected organ for the treatment of pain. This is, for example, applicable in the treatment  
10 of deep tissue pain, such as chronic malignant pain.

The present invention will now be described by reference to the following examples together with the Figures that show the following:

15 Figure 1. SDS-PAGE analysis of fractions from ExL-LH<sub>N</sub>/A purification scheme

Figure 2. Cleavage of SNAP-25 by ExL-LH<sub>N</sub>/A

Figure 3. SDS-PAGE analysis of fractions from EcL-LH<sub>N</sub>/A purification scheme

20 Figure 4 SDS-PAGE analysis of fractions from SBA-LH<sub>N</sub>/A purification scheme

Figure 5 Native gel analysis of ExL- and SBA-LH<sub>N</sub>/A

Figure 6 Activity of ExL-LH<sub>N</sub>/A on release of neurotransmitter from eDRG and eSC neurons

25 Figure 7 Activity of SBA-LH<sub>N</sub>/A on release of neurotransmitter from eDRG and eSC neurons

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Figure 8 Activity of WGA-LH<sub>N</sub>/A on release of neurotransmitter from eDRG and eSC neurons

Figure 9 Activity of ExL-LH<sub>N</sub>/A in an *in vivo* electrophysiology model of analgesia

- 5     Figure 10 Activity of ExL-LH<sub>N</sub>/A in an *in vivo* behavioural model of analgesia

Example 1. The Production of a conjugate between a lectin from *Erythrina cristagalli* and LH<sub>N</sub>/A.

#### Materials

- 10     Lectin from *E. cristagalli* (ExL) was obtained from Sigma Ltd.
- LH<sub>N</sub>/A was prepared essentially by the method of Shone C.C., Hambleton, P., and Melling, J. 1987, *Eur. J. Biochem.* 167, 175-180.
- 15     SPDP was from Pierce Chemical Co.
- PD-10 desalting columns were from Pharmacia.
- Dimethylsulphoxide (DMSO) was kept anhydrous by storage over a molecular sieve.
- Denaturing sodium dodecylsulphate polyacrylamide gel
- 20     electrophoresis (SDS-PAGE) was performed using gels and reagents from Novex
- Immobilised lactose-agarose was obtained from Sigma Ltd.
- Additional reagents were obtained from Sigma Ltd.

#### Methods

- 25     The lyophilised lectin was rehydrated in phosphate buffered saline (PBS) to a final concentration of 10 mg/ml. Aliquots of this solution were stored at -20°C until use.

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The ExL was reacted with an equal concentration of SPDP by the addition of a 10 mM stock solution of SPDP in DMSO with mixing. After one hour at room temperature the reaction was terminated by desalting into PBS over a PD-10 column.

The thiopyridone leaving group was removed from the product by reduction with dithiothreitol (DTT, 5 mM, 30 min). The product of this reaction was analysed spectrophotometrically at 280 nm and 343 nm to determine the degree of derivatisation achieved. The degree of derivatisation achieved was  $0.8 \pm 0.06$  mol/mol. The thiopyridone and DTT were removed by once again desalting into PBS over a PD-10 column.

The LH<sub>N</sub>/A was desalted into PBSE (PBS containing 1 mM EDTA). The resulting solution (0.5-1.0 mg/ml) was reacted with a four- or five-fold molar excess of SPDP by addition of a 10 mM stock solution of SPDP in DMSO. After 3 h at room temperature the reaction was terminated by desalting over a PD-10 column into PBS.

A portion of the derivatised LH<sub>N</sub>/A was removed from the solution and reduced with DTT (5 mM, 30 min). This sample was analysed spectrophotometrically at 280 nm and 343 nm to determine the degree of derivatisation. The degree of derivatisation achieved was  $2.26 \pm 0.10$  mol/mol.

The bulk of the derivatised LH<sub>N</sub>/A and the derivatised ExL were mixed in proportions such that the ExL was in greater than three-fold molar excess. The conjugation reaction was allowed to proceed for >16 h at 4°C.

The product mixture was centrifuged to clear any precipitate that had developed. The supernatant was concentrated by centrifugation through concentrators (with 10000-50000 molecular weight exclusion limit) prior to a two step purification strategy. As the first step, the concentrated material was applied to a Superose 12 column on an FPLC chromatography system (Pharmacia). The column

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was eluted with PBS and the elution profile followed at 280 nm.

Fractions were analysed by SDS-PAGE on 4-20% polyacrylamide gradient gels, followed by staining with Coomassie Blue. The major band of conjugate has an apparent molecular mass of between 130-160 kDa; this is separated from the bulk of the remaining unconjugated LH<sub>N</sub>/A and more completely from the unconjugated ExL. Fractions containing conjugate were pooled prior to the second chromatography step; immobilised lactose-agarose. Selected post-Superose-12 fractions were applied to PBS-washed lactose-agarose and incubated for 2 hours at 4°C to facilitate binding. Lectin-containing proteins (i.e. ExL-LH<sub>N</sub>/A conjugate) remained bound to the agarose during subsequent washing with PBS to remove contaminants (predominantly unconjugated LH<sub>N</sub>/A). ExL-LH<sub>N</sub>/A conjugate was eluted from the column by the addition of 0.3M lactose (in PBS) and the elution profile followed at 280 nm. The fractions containing conjugate were pooled, dialysed against PBS, and stored at 4°C until use.

In figure 1 is illustrated the SDS-PAGE profile during different stages in the conjugate purification scheme. Lanes 2 and 3 indicate ExL lectin and LH<sub>N</sub>/A respectively prior to conjugation. Lanes 4, 5 & 6 represent conjugation mixture, post-Superose-12 and post-lactose affinity chromatography samples respectively. Lane 6 is therefore indicative of the profile of the final conjugate material. Molecular weight markers are represented in lanes 1 & 7 with sizes indicated on the figure.

On the SDS-PAGE gel there are bands due to lectin alone in fractions containing the conjugate, this material is probably due to the non-covalent homo-dimeric nature of the ExL; where only one monomer of ExL is covalently attached to the LH<sub>N</sub>/A the other is dissociated from the complex by the SDS in the electrophoretic procedure giving rise to these bands. The absence of free lectin monomers

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was confirmed by native PAGE analysis and is illustrated in Figure 5. ExL-LH<sub>N</sub>/A (lane 5) was analysed by non-denaturing PAGE. Samples were separated using 4-20% polyacrylamide gel for 6.75 hours, 125V, 4°C. The electrophoresis profile was compared to those of LH<sub>N</sub>/A (lane 3) and ExL lectin only (lane 4). A range of marker proteins were analysed alongside; apoferritin (lane 6), β-amylase (lane 8), alcohol dehydrogenase (lane 7) and albumin (lane 9). Approximate molecular sizes are indicated.

**Example 2. The production of a conjugate between a lectin from *Erythrina corallodendron* and LH<sub>N</sub>/A.**

The procedure for production of a conjugate between a lectin from *Erythrina corallodendron* and LH<sub>N</sub>/A is essentially as described in Example 1 but with the following differences:

**Materials**

Lectin from *E. corallodendron* (EcL) was obtained from Sigma Ltd.

Figure 3 illustrates the purification scheme for the EcL-LH<sub>N</sub>/A conjugate. Samples were applied to 4-20% polyacrylamide gradient gels and subjected to electrophoresis prior to staining with Coomassie blue. Lane 1 = molecular weight markers. Lane 2 represents the post-lactose affinity purified sample of EcL-LH<sub>N</sub>/A. Lane 3 is a sample of pre-lactose affinity purified (size-exclusion chromatography only) EcL-LH<sub>N</sub>/A. Lane 4 is a sample of pre-lactose affinity purified ExL-LH<sub>N</sub>/A.

**Example 3. The Production of a conjugate between a lectin from *Glycine max* and LH<sub>N</sub>/A**

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The procedure for production of a conjugate between a lectin from *Glycine max* and LH<sub>N</sub>/A is essentially as described in Example 1 but with the following differences:

#### Materials

5 Lectin from *G. max* (SBA) was obtained from Sigma Ltd.

#### Method

For the affinity chromatography step an immobilised N-acetylgalactosamine (GalNAc) column was used and specific SBA-LH<sub>N</sub>/A was eluted by the addition of 0.3M lactose.

10 Figure 4 illustrates SDS-PAGE profile changes during the purification scheme for SBA-LH<sub>N</sub>/A. SBA-LH<sub>N</sub>/A was purified from crude conjugate mixture by Superose-12 size-exclusion chromatography and immobilised N-acetylgalactosamine affinity chromatography. Samples were subjected to SDS-  
15 PAGE on 4-20% polyacrylamide gels. Lanes 6-8 were run in the presence of 0.1M DTT. Lanes 1 (&7) and 2 (&8) indicate SBA and SPDP-derivatised LH<sub>N</sub>/A respectively, prior to conjugation. Lanes 3, 4 & 5 (&6) represent conjugation mixture, post-Superose-12 and post-affinity chromatography  
20 samples respectively. Lane 5 is therefore indicative of the profile of the final conjugate material. Molecular weight markers are represented in lanes Mr with sizes indicated on the figure.

The absence of free lectin monomers was confirmed by  
25 native non-denaturing PAGE analysis as illustrated in Figure 5. Samples were separated using 4-20% polyacrylamide gel for 6.75 hours, 125V, 4°C. The electrophoresis profile of SBA-LH<sub>N</sub>/A (lane 1) was compared to those of SBA lectin only (lane 2) and LH<sub>N</sub>/A (lane 3). A  
30 range of marker proteins were analysed alongside; apoferritin (lane 6), β-amylase (lane 8), alcohol dehydrogenase (lane 7) and albumin (lane 9). Approximate molecular sizes are indicated.

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**Example 4. Activity of ExL-LH<sub>N</sub>/A in primary neuronal cultures**

The dorsal root ganglia contain the cell bodies of primary nociceptive afferent neurons. It is well established that  
5 in primary *in vitro* cultures of this tissue the neurons retain many of the characteristics of the nociceptive afferent. These characteristics include the ability to release neuropeptides such as substance P in response to chemical stimuli known to cause pain *in vivo* (e.g.  
10 capsaicin). Neurons anatomically adjacent to those of the DRG include those of the spinal cord. Cultures of SC neurons prepared from embryonic rats can be established *in vitro* and the release of neurotransmitter (<sup>3</sup>H-glycine) under potassium stimulation can be assessed. As such, the  
15 eSC neurons represent a model cell for testing the selectivity of the agents described.

The selectivity of the ExL-LH<sub>N</sub>/A agent for eDRG over eSC neurons is clearly illustrated in Figure 6. The dose curves document the effectiveness of ExL-LH<sub>N</sub>/A in an *in vitro* cell culture model by comparing inhibition of  
20 neurotransmission in eDRG with eSC neurons.

**Materials**

Substance P enzyme linked immunosorbent assay kits were from Cayman Chemical Company.  
25 Western blot reagents were obtained from Novex  
Monoclonal antibody SMI-81 was from Sternberger Monoclonals Inc.

¶

**Methods**

Primary cultures of dorsal root ganglion and embryonic  
30 spinal cord neurons were established following dissociation of the ganglia dissected from rat embryos (embryological age 12-15 days). For the preparation of

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eDRG neurons, the cells were plated into 12 well plates at an initial density of  $3 \times 10^5$  cells/well in a medium containing NGF (100 ng/ml). After one day in culture, fresh medium containing cytosine arabinoside ( $10 \times 10^{-6}$  M) was added to kill non-neuronal cells. After 2-4 days the cytosine arabinoside was removed. After several more days in culture the medium was replaced with fresh medium containing conjugate or LH<sub>n</sub>.

For the preparation of eSC neurons, Cells were plated onto poly-D-lysine coated 12 well plates (Costar) at a density of  $2 \times 10^6$  cells per well (1 ml/well). 'Plating' medium was MEM with Earles Salts (Sigma), containing 5% foetal bovine serum (FBS), 5% heat inactivated horse serum (HS), 0.6% dextrose, 1.5g/l NaHCO<sub>3</sub> and 2 mM L-glutamine. Cultures are incubated at 37°C with 10% CO<sub>2</sub>. The medium was changed to 'feeding' medium (plating medium minus the FBS with N1 (Sigma) 1/50 supplement) after one day. When glial cells became almost confluent anti-mitotic agents (15 microgrammes /ml 5-fluoro-2'-deoxyuridine (FdU) and 35 microgrammes /ml uridine (U)) were added for a further 2-3 days. Cells were cultured for at least 3 weeks prior to use.

The cells were incubated with these agents for varying times and then tested for their ability to release the neurotransmitters glutamate and substance P (eDRG) or glycine (eSC). After the release assays were performed the cells were lysed and the hydrophobic proteins were extracted by phase partitioning with Triton-X-114 following the method outlined in Boyd, Duggan, Shone and Foster (J. Biol. Chem. 270, 18216-18218, 1995).

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#### *Substance P release assay*

The release of endogenous substance P was effected by collecting cell supernatants after treating the cells for 5 min with either a physiological balanced salt solution



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or a balanced salt solution in which the potassium ion concentration had been raised to 100 mM with consequent reduction in the sodium ion concentration to maintain isotonicity. Total substance P was measured after  
5 extraction in 2 M acetic acid, 0.1% trifluoroacetic acid and subsequent dehydration. Substance P immunoreactivity was measured using an enzyme immunoassay kit (Cayman Chemical Company).

*[<sup>3</sup>H]Glutamate release assay*

10 The release of glutamate was measured after loading the cells with [<sup>3</sup>H]glutamine as a radiotracer. The [<sup>3</sup>H]glutamine is converted to [<sup>3</sup>H]glutamate in the cell, and it is this [<sup>3</sup>H]glutamate that is taken up by synaptic vesicles and released upon depolarisation of the neuron.  
15 The cells are loaded with the [<sup>3</sup>H]glutamine (5 X10<sup>-6</sup> Ci/ml in HEPES-buffered MEM) for 2 h, then washed twice with HEPES-buffered MEM and thrice with balanced salt solution (BSS). Basal release was assessed with a 3 min incubation with BSS. Stimulated release was determined by a 3 min  
20 incubation with BSS in which the potassium concentration had been elevated to 80-100 mM with a consequent reduction in the sodium concentration to maintain isotonicity. All manipulations were performed at 37°C. The cells were lysed by the addition of Triton-X-100 (0.1%, v/v). For the  
25 basal and stimulated release superfusates the glutamate was separated from the glutamine by ion-exchange chromatography over Dowex-1 resin. The relevant fractions were analysed for <sup>3</sup>H content by liquid scintillation counting.

#

30 *[<sup>3</sup>H] Glycine release assay*

The release of glycine was measured after loading the cells with [<sup>3</sup>H]glycine as a radiotracer. The [<sup>3</sup>H]glycine is taken up by synaptic vesicles and released upon depolarisation of the neuron. The cells are loaded with

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the [ $^3\text{H}$ ]glycine ( $2 \times 10^{-6}$  Ci/ml in HEPES-buffered MEM) for 2 h, then washed once with HEPES-buffered MEM and thrice with balanced salt solution (BSS). Basal release was assessed with a 5 min incubation with BSS. Stimulated release was determined by a 5 min incubation with BSS in which the potassium concentration had been elevated to 56 mM with a consequent reduction in the sodium concentration to maintain isotonicity. All manipulations were performed at 37°C. The cells were lysed by the addition of 2 M acetic acid, 0.1% trifluoroacetic acid. Fractions were analysed for their  $^3\text{H}$  content by liquid scintillation counting and inhibition of release determined.

Figure 6 illustrates the activity of ExL-LH<sub>N</sub>/A on release of neurotransmitter from eDRG and eSC neurons. Both eDRG and eSC cultures were exposed to a range of ExL-LH<sub>N</sub>/A concentrations (1 ml volumes) for three days. The percentage inhibition of eDRG substance P (n) and eSC [ $^3\text{H}$ ]-glycine (?) release is in comparison to untreated controls. The data shown is representative of =3 determinations. IC<sub>50</sub> for eDRG was determined to be  $3.66 \pm 0.92 \mu\text{g/ml}$ . An inhibition of 50% was not obtained for eSC using the concentration range employed.

#### *Western blotting*

ExL-LH<sub>N</sub>/A was applied to eDRG for 16 hours. After the determination of neurotransmitter release the cells were lysed by the addition of 2 M acetic acid, 0.1% trifluoroacetic acid and subsequently dehydrated. To extract the membrane proteins from these mixtures Triton-X-114 (10%, v/v) was added and incubated at 4°C for 60 min, the insoluble material was removed by centrifugation and the supernatants were then warmed to 37°C for 30 min. The resulting two phases were separated by centrifugation and the upper phase discarded. The proteins in the lower phase were precipitated with chloroform/methanol for analysis by Western blotting.

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The extracted protein samples were applied to 4-20% polyacrylamide gradient gels and subjected to electrophoresis prior to transfer to nitrocellulose. Proteolysis of SNAP-25, a crucial component of the neurosecretory process and the substrate for the zinc-dependent endopeptidase activity of BoNT/A, was then detected by probing with an antibody (SMI-81) that recognises both the intact and cleaved forms of SNAP-25 (Figure 2). Proteins blotted onto nitrocellulose were probed with antibody SMI-81. Lanes 1-3, 4-6, 7-9 and 10-12 represent cells treated with medium, 40 microgrammes/ml ExL, 20 microgrammes/ml ExL and 40 microgrammes/ml LH<sub>N</sub>/A respectively. Densitometric analysis of these data determined the %SNAP-25 cleavage to be 52.7% and 37.0% for 40 and 20 microgrammes/ml respectively.

#### Example 5. Activity of SBA-LH<sub>N</sub>/A in primary neuronal cultures

Using methodology described in Example 4, the activity of SBA-LH<sub>N</sub>/A in primary neuronal cultures was assessed. The selectivity of the SBA-LH<sub>N</sub>/A conjugate for eDRG over eSC neurons is illustrated in Figure 7. Both eDRG and eSC cultures were exposed to a range of SBA-LH<sub>N</sub>/A concentrations (1 ml volumes) for three days. The percentage inhibition of eDRG substance P (n) and eSC [<sup>3</sup>H]-glycine (O) release is in comparison to untreated controls. The data is the mean of three determinations  $\pm$  SE. The curves shown are representative of two experiments. IC<sub>50</sub> values for eDRG neurons were determined to be 1.84 and 7.6 microgrammes/ml. It is observed that SBA-LH<sub>N</sub>/A exhibits a clear selectivity of the inhibition of neurotransmitter release from eDRG relative to eSC neurons. These data therefore confirm observations described for ExL-LH<sub>N</sub>/A above and highlight the properties of galactose-specific lectins.

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**Example 7. Activity of WGA-LH<sub>N</sub>/A in primary neuronal cultures**

Using methodology described in Example 4, the activity of WGA-LH<sub>N</sub>/A in primary neuronal cultures was assessed. WGA represents an example of a non-galactosyl targeted lectin and therefore serves as an indicator of the properties of conjugate that do not recognise galactosyl moieties. The lack of selectivity of the WGA-LH<sub>N</sub>/A conjugate for eDRG over eSC neurons is illustrated in Figure 8. eDRG and eSC neurons were exposed to a range of concentrations of WGA-LH<sub>N</sub>/A for 3 days prior to assay of stimulated release of neurotransmitter (substance P and glycine respectively). Each conjugate concentration was assessed in triplicate and results are expressed as percentage inhibition compared to untreated controls. Panels A and B represent dose response curves from one experiment representative of ≥3 for eDRG and eSC neurons respectively. Each point shown is the mean of three determinations ± SE of the mean. IC<sub>50</sub> data for the effects of WGA-LH<sub>N</sub>/A was calculated to be 0.34±0.06 microgrammes /ml (eDRG) and 0.06±0.09 microgrammes /ml (eSC), indicating the lack of C-fibre selectivity.

**Example 8. Activity of ExL-LH<sub>N</sub>/A in an electrophysiological model of pain**

A dose of 45 microgrammes of ExL-LH<sub>N</sub>/A in a 10 microlitres volume of vehicle was given by intrathecal injection to rats between lumbar sections L4-L5, 24 hours prior to electrophysiological analysis of neuronal activity. Animals were allowed to recover and movement was not restricted prior to sacrifice and analysis. The results from a group of 3 animals with 10 neurones recorded per animal, show that there was a 73% reduction in the C-fibre responses of the neurones (Figure 9A) although the stimulus threshold is only slightly elevated (Figure 9B). Inhibition of C-fibre responses would lead to a decrease

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in the transmission of pain signals and these data are indicative of the analgesic effect of conjugate ExL-LH<sub>N</sub>/A. There was also a significant decrease in the A<sub>δ</sub> response (Figure 9C). These fibres are also implicated in the transmission of noxious stimuli and this result emphasises the analgesic effect of ExL-LH<sub>N</sub>/A. A<sub>δ</sub> neurons, a cell type that is not involved in transmission of noxious stimuli, were essentially unaltered in their responses to this stimulus (Figure 9D). The lack of affect on the A<sub>δ</sub>-fibre neurons is indicative of the selectivity of ExL-LH<sub>N</sub>/A for the neurons central to the transmission of pain signals.

#### Example 9. Activity of ExL-LH<sub>N</sub>/A in behavioural models of pain

In an accepted *in vivo* model of pain, the mouse hotplate test, ExL-LH<sub>N</sub>/A has been demonstrated to exhibit analgesic properties. Figure 10 illustrates the data obtained for ExL-LH<sub>N</sub>/A where it is compared to a supramaximal dose of morphine. ExL-LH<sub>N</sub>/A was applied intrathecally (30 microgrammes in a 5 microlitre vehicle volume) to each of a group of 10 mice and analgesic response in the hot plate test determined. Data is presented as hot plate latency (seconds) plotted against assay time (P = pre-treatment, 0-5 = hours post application). Onset of ExL-LH<sub>N</sub>/A action had apparently reached a plateau at 1 hour that remained constant for at least 5 hours. The level of analgesia is similar to a supramaximal dose (50 microgrammes, 20X mouse EC<sub>50</sub>) of morphine in this test, but is of much longer duration. This level of morphine achieves a maximal effect at 1 hour and then returns to control levels over a period of 5 hours. These data represent a clear indication of the analgesic potential of agents such as ExL-LH<sub>N</sub>/A.

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*Materials*

Adult outbred mice (MF1) of either sex, weight range 20 to 30g.

*Methods*

- 5 Test material is injected into the intrathecal space of anaesthetised mice using a 30 gauge disposable needle attached to a 50 microlitre Hamilton syringe. The site of injection was normally chosen to be between lumbar vertebrae 5 and 6. The needle is inserted into the tissue
- 10 to one side of the vertebrae so that it slips into the groove between the spinous and transverse processes. The needle is then moved carefully forward to the intervertebral space. 5 microlitres of test material is then injected into the intrathecal space and the needle
- 15 withdrawn. The skin incision is then closed with a single wound clip and the animal placed in a box to allow recovery.

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## Claims

1. An agent for the treatment of pain that comprises a galactose-binding lectin linked to a derivative of a clostridial neurotoxin, in which the derivative of the clostridial neurotoxin comprises the L-chain, or a fragment thereof, which includes the active proteolytic enzyme domain of the light (L) chain, linked to a molecule or domain with membrane translocating activity.
2. An agent according to Claim 1 in which the membrane translocation domain is derived from the heavy chain of a clostridial toxin.
3. An agent according to Claim 1 in which the membrane translocation domain is derived from a non-clostridial source.
4. An agent according to any preceding Claim in which the lectin binds to oligosaccharides that contain terminal  $\beta$ -D-galactosyl residues
5. An agent according to any preceding Claim in which the lectin binds to oligosaccharides that contain terminal  $\alpha$ -D-galactosyl residues
6. An agent according to any preceding Claim in which the lectin binds to oligosaccharides that contain N-acetylgalactosamine
7. An agent according to any previous Claim in which the lectin is derived from a species of plant.

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8. An agent according to the previous Claim in which the lectin is derived from a species of the genus *Erythrina*.
- 5 9. An agent according to Claim 8 in which the lectin is derived from *E. cristagalli*.
10. An agent according to Claim 8 in which the lectin is derived from *E. corallodendron*.
11. An agent according to Claims 7 in which the lectin is obtained from *Glycine max*.
- 10 12. An agent according to Claims 7 in which the lectin is obtained from *Arachis hypogaea*.
13. An agent according to Claims 7 in which the lectin is obtained from *Bandeirea simplicifolia*.
- 15 14. An agent according to Claim 1-6 in which the lectin is of mammalian origin.
15. An agent according to Claim 1-6 in which the lectin is obtained from bacteria.
16. An agent according to Claim 15 in which the lectin is obtained from *Pseudomonas aeruginosa*.
- 20 17. An agent according to any preceding Claim in which the lectin has been produced using recombinant technology.



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18. An agent according to any preceding Claim in which the lectin has been enzymatically modified.
19. An agent according to any preceding Claim in which the lectin has been chemically modified.
- 5 20. An agent according to any preceding Claim which comprises the lectin coupled to a clostridial neurotoxin in which the H<sub>c</sub> domain of the H-chain is removed or modified.
- 10 21. An agent according to any preceding Claim in which the H-chain is modified by chemical derivatisation to reduce or remove its native binding affinity for motor neurons.
- 15 22. An agent according to any of Claims 1-20 in which the H-chain is modified by mutation to reduce or remove its native binding affinity for motor neurons.
23. An agent according to any of Claims 1-20 in which the H-chain is modified by proteolysis.
- 20 24. An agent according to Claim 20 in which the H<sub>c</sub> domain is completely removed leaving only the H<sub>N</sub>-fragment of a clostridial neurotoxin.
25. An agent according to any preceding Claim in which the clostridial neurotoxin component is obtained from botulinum neurotoxin.

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26. An agent according to any preceding Claim in which the clostridial neurotoxin component is obtained from botulinum neurotoxin type A.
- 5 27. An agent according to Claims 1-25 in which the clostridial neurotoxin component is obtained from botulinum neurotoxin type B.
28. An agent according to any of Claims 1-25 which is formed by the coupling of a galactose-binding lectin to the LH<sub>N</sub> fragment of botulinum neurotoxin type A.
- 10 29. An agent according to Claim 28 which is formed by the coupling of the galactose-binding lectin from *Erythrina cristagalli* to the LH<sub>N</sub> fragment of botulinum neurotoxin type A.
- 15 30. An agent according to Claim 28 which is formed by the coupling of the galactose-binding lectin from *Erythrina corallodendron* to the LH<sub>N</sub> fragment of botulinum neurotoxin type A.
- 20 31. An agent according to Claim 28 which is formed by the coupling of the galactose-binding lectin from *Glycine max* to the LH<sub>N</sub> fragment of botulinum neurotoxin type A.
- 25 32. An agent according to any preceding Claim in which the H-chain is obtained from a different clostridial neurotoxin than that from which the L-chain is obtained.

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33. An agent according to Claim 32 in which the H-chain is obtained from botulinum neurotoxin type A and the L-chain from botulinum neurotoxin type B.
- 5 34. An agent according to Claim 32 in which the H-chain is obtained from botulinum neurotoxin type A and the L-chain from tetanus neurotoxin.
35. An agent according to Claims 33 and 34 in which the H-chain component is the H<sub>n</sub> fragment of botulinum neurotoxin type A.
- 10 36. An agent according to any preceding Claim in which the L-chain or L-chain fragment is linked to the H-chain by a direct covalent linkage.
37. An agent according to any of Claims 1-35 in which the L-chain or L-chain fragment is linked to the H-chain  
15 by a covalent linkage which includes one or more spacer regions.
38. An agent according to any preceding Claim in which the clostridial neurotoxin derivative incorporates polypeptides produced by recombinant technology.
- 20 39. An agent according to any preceding Claim in which the lectin is linked to the clostridial neurotoxin-derived component by a direct covalent linkage.
40. An agent according to any of Claims 1-38 in which the lectin is linked to the clostridial neurotoxin-  
25 derived component by a covalent linkage which includes one or more spacer regions.

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41. An agent according to any preceding Claim in which the lectin and clostridial neurotoxin components are produced as a recombinant fusion protein.
- 5 42. An agent according to any preceding Claim in which the lectin protein has been modified from its native polypeptide sequence whilst retaining an ability for the protein to bind to oligosaccharide structures, in which the terminal residue is derived from galactose or N-acetylgalactosamine.
- 10 43. An agent according to Claim 42 in which the protein modification results from modification of the nucleic acid coding for the lectin protein from its native sequence.
- 15 44. An agent according to any preceding Claim which prevents the release of a neurotransmitter or neuromodulator from a primary sensory afferent.
45. An agent according to any preceding Claim which inhibits the release of a neurotransmitter or neuromodulator from a primary nociceptive afferent.
- 20 46. A method for obtaining an agent according to any preceding Claim which comprises the covalent attachment of a galactose-binding lectin to a derivative of a clostridial neurotoxin, in which the derivative of the clostridial neurotoxin comprises
- 25 the L-chain or an L-chain fragment which includes the active proteolytic enzyme domain of the light (L) chain, linked to a molecule or domain with membrane translocating activity.

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47. A method for obtaining an agent according to any of Claims 1-58 which comprises the covalent attachment of a galactose-binding lectin to a derivative of a clostridial neurotoxin with the inclusion of one or more spacer regions, in which the derivative of the clostridial neurotoxin comprises the L-chain or an L-chain fragment which includes the active proteolytic enzyme domain of the light (L) chain, linked to a molecule or domain with membrane translocating activity.
48. An method according to Claim 47 in which the membrane translocation domain is derived from the heavy chain of a clostridial toxin.
49. An method according to Claim 47 in which the membrane translocation domain is derived from a non-clostridial source.
50. A method for obtaining an agent according to any of Claims 1-45 which comprises constructing a genetic construct which codes for the agent, incorporating said construct into a host organism and expressing the construct to produce the agent.
51. A method of controlling the release of a neurotransmitter or neuromodulator from a primary sensory afferent by applying the agent of any one of Claims 1-45.
52. A method of controlling the release of a neurotransmitter or neuromodulator from a primary nociceptive afferent by applying the agent of any one of Claims 1-45.

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53. A method of controlling the transmission of sensory information from a primary sensory afferent to a projection neuron by applying the agent of any one of Claims 1-45.
- 5 54. A method of controlling the transmission of sensory information from a primary nociceptive afferent to a projection neuron by applying the agent of any one of Claims 1-45.
- 10 55. A method of controlling the sensation of pain by applying the agent of any one of Claims 1-45.
56. Use of the agent according to any one of Claims 1-45 or a pharmaceutically acceptable salt thereof as a medicament for the alleviation of pain.
- 15 57. Use of the agent according to any one of Claims 1-45 or a pharmaceutically acceptable salt thereof as a medicament for the prevention of pain.
58. Use of the agent according to any one of Claims 1-45 in the manufacture of a medicament for the alleviation of pain.
- 20 59. Use of the agent according to any one of Claims 1-45 in the manufacture of a medicament for the prevention of pain.
- 25 60. A method of alleviating pain which comprises administering an effective dose of the agent according to any one of Claims 1-45.

- 33 -

61. A method of preventing pain which comprises administering an effective dose of the agent according to any one of Claims 1-45.

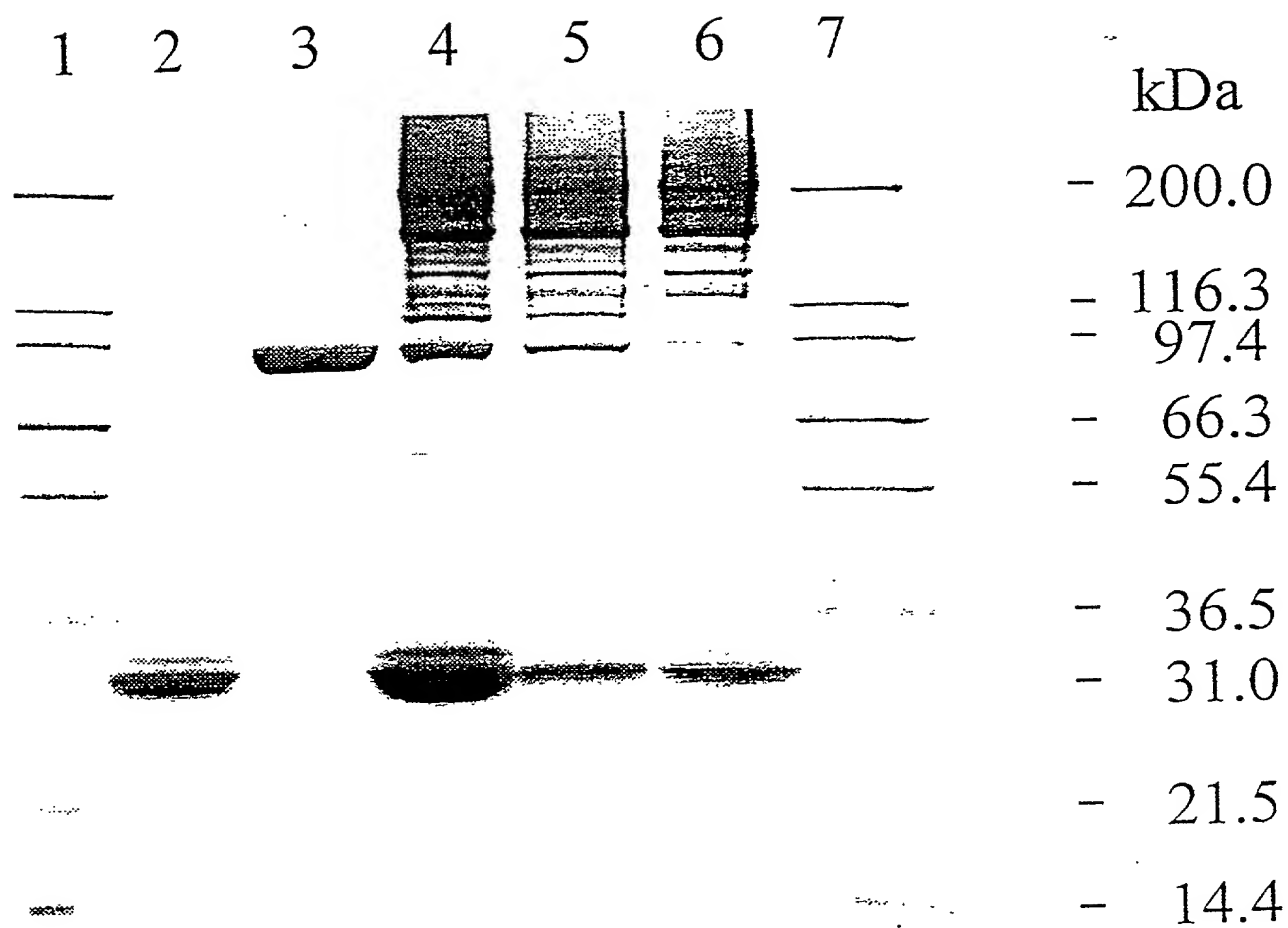
012233-0876000

*Abstract*

A class of novel agents that are able to modify nociceptive afferent function is provided. The agents may inhibit the release of neurotransmitters from discrete populations of neurones and thereby reduce or preferably prevent the transmission of afferent pain signals from peripheral to central pain fibers. They comprise a galactose-binding lectin linked to a derivative of a clostridial neurotoxin. The derivative of the clostridial neurotoxin comprises the L-chain, or a fragment thereof, which includes the active proteolytic enzyme domain of the light (L) chain, linked to a molecule or domain with membrane translocating activity. The agents may be used in or as pharmaceuticals for the treatment of pain, particularly chronic pain.



Figure 1



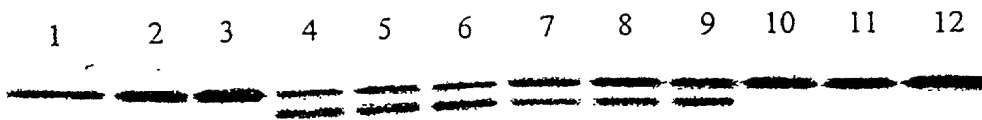
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Figure 2



002290-0016560

Figure 3

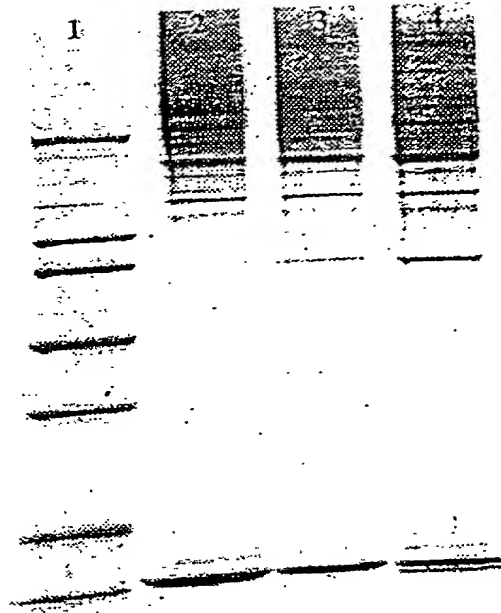


Figure 4

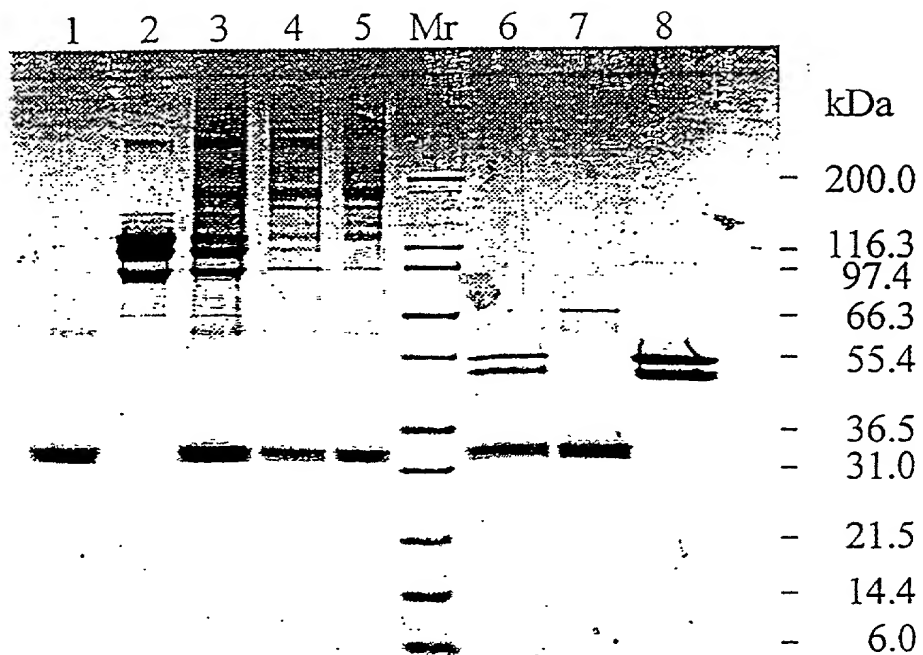


Figure 5

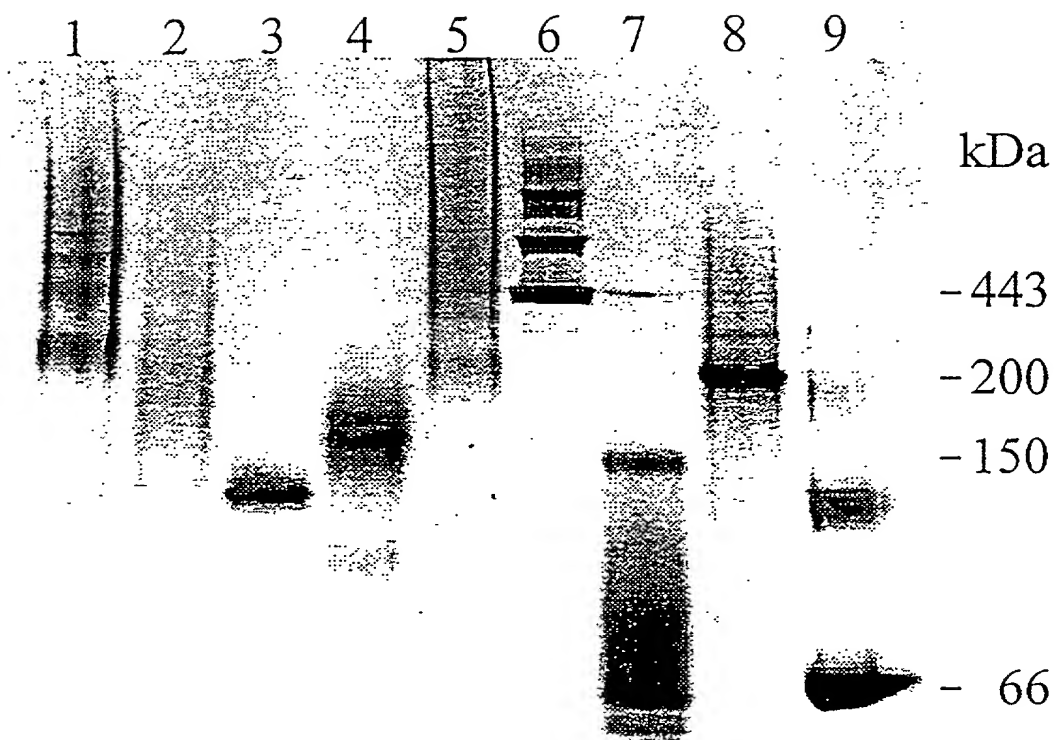


Figure 6

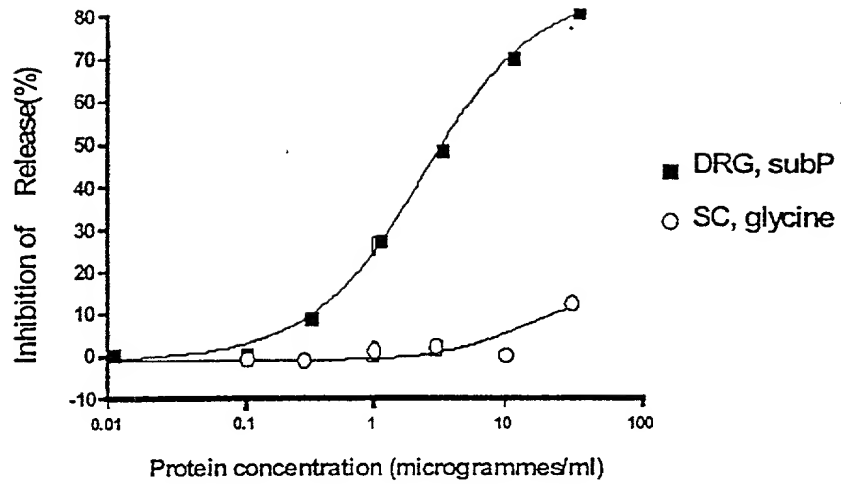


Figure 7

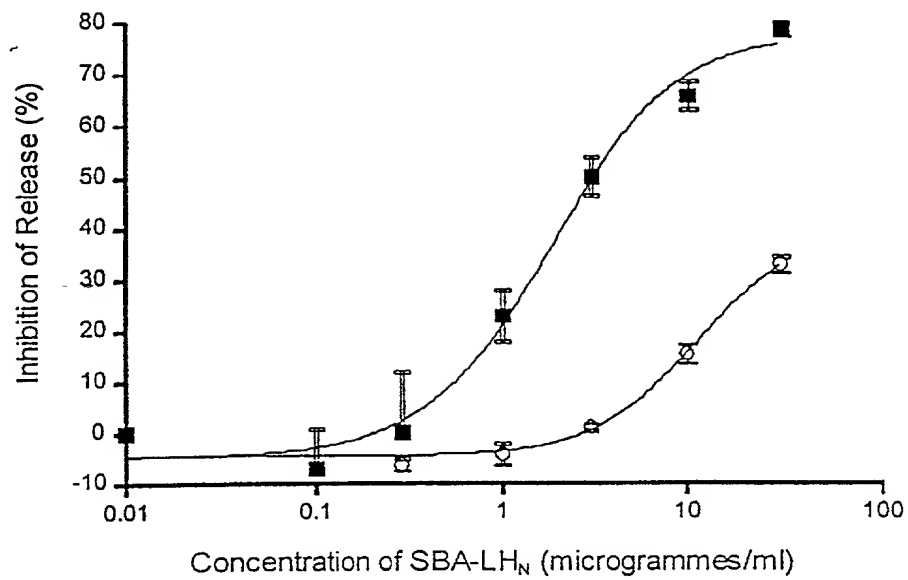
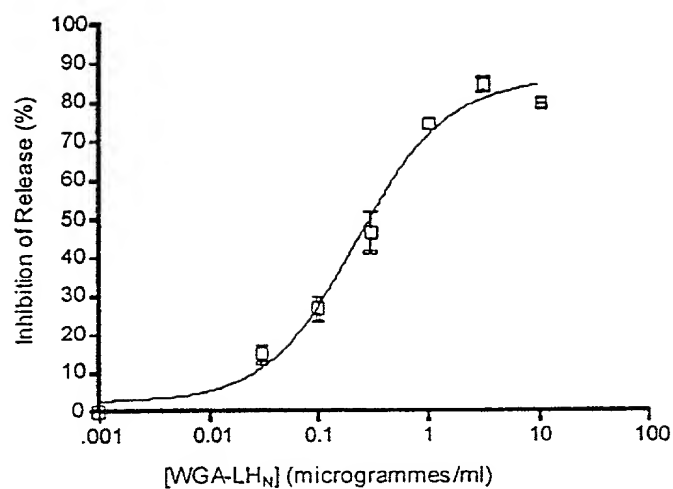


Figure 8

Panel A: eDRG



Panel B: eSC neurons

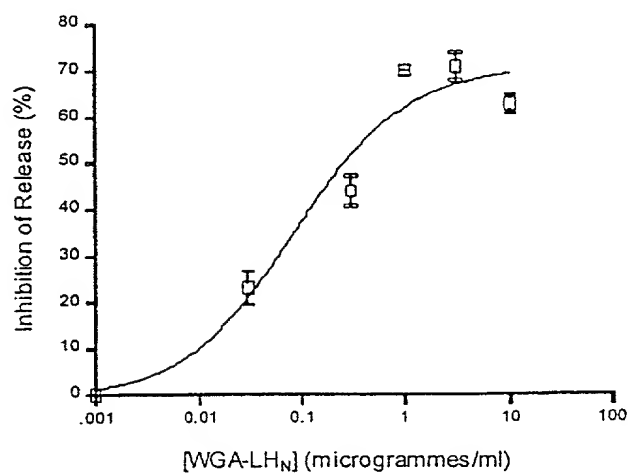
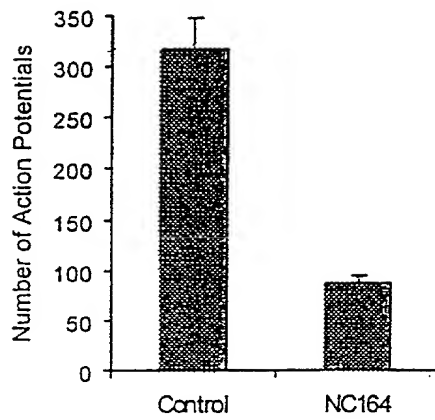


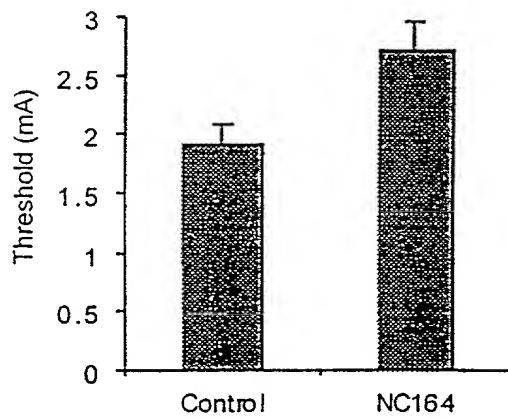


Figure 9

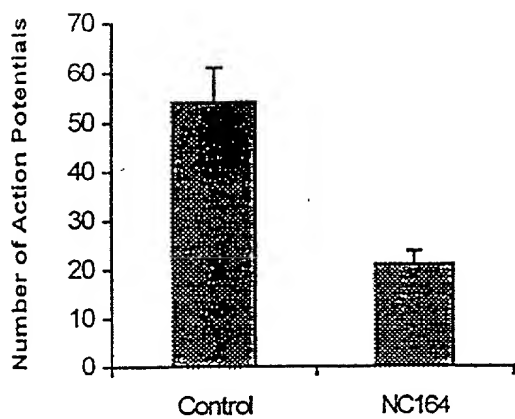
A.



B.



C



D.

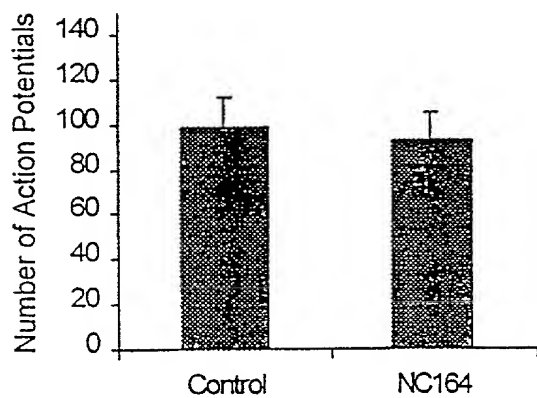
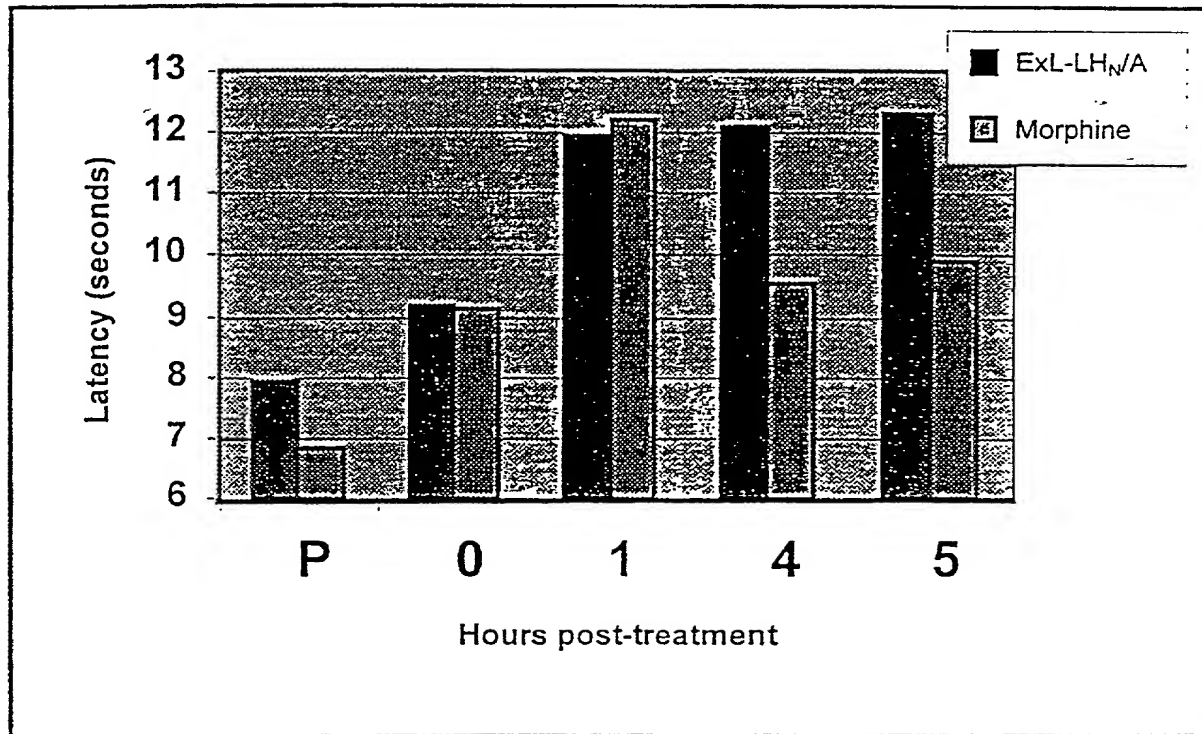


Figure 10



Applicant: Duggan *et al.*  
Docket No: 1581.0580000/RWE/KKV  
M & S Ref. No: GWS/MRM/21094

# POWER OF ATTORNEY FROM MULTIPLE ASSIGNEES

(1) Microbiological Research Authority, a Government: Special Health Authority of Great Britain, having a principal place of business at CAMR, Porton Down, Salisbury, Wiltshire SP4 0JG and (2) The Speywood Laboratory Limited, a corporation of Great Britain having a principal place of business at 14 Kensington Square, London W8 5HH, are, collectively, assignees of the entire right, title and interest for the United States of America (as defined in 35 U.S.C. § 100), by reason of an Assignment executed to Assignee (1) on 17 April/15 May '00 and to Assignee (2) on \_\_\_\_\_ of an invention known as Conjugates of Galactose-Binding Lectins and Clostridial Neurotoxins as Analgesics (Attorney Docket No. 1581.0580000/RWE/KKV), that is disclosed and claimed in a patent application of the same title by the inventors Michael John DUGGAN, and John Andrew CHADDOCK (said application filed \_\_\_\_\_ at the U.S. Patent and Trademark Office, having Application Number to be assigned) (International Filing Date: October 7, 1998; International Appl. No. PCT/GB98/03001).

The Assignees hereby appoint the following U.S. attorneys to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith: Robert Greene Sterne, Registration No. 28,912; Edward J. Kessler, Registration No. 25,688; Jorge A. Goldstein, Registration No. 29,021; Samuel L. Fox, Registration No. 30,353; David K.S. Cornwell, Registration No. 31,944; Robert W. Esmond, Registration No. 32,893; Tracy-Gene G. Durkin, Registration No. 32,831; Michele A. Cimbala, Registration No. 33,851; Michael B. Ray, Registration No. 33,997; Robert E. Sokohl, Esquire Registration No. 36,013; Eric K. Steffe, Registration No. 36,688; Michael Q. Lee, Registration No. 35,239; Steven R. Ludwig, Registration No. 36,203; Raz E. Fleshner, Registration No. 34,331; John M. Covert, Registration No. 38,759; and Linda E. Alcorn, Registration No. 39,588. The Assignees hereby grant said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The Assignee hereby authorizes the U.S. attorneys named herein to accept and follow instructions from Mathys & Squire

100 Gray's Inn Road, London WC1X 8AL

as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the Assignee. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the Assignee.

Send correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934  
U.S.A.

Direct phone calls to 202-371-2600.

FOR: Microbiological Research Authority

SIGNATURE: \_\_\_\_\_

BY: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_

2 June 2000

Applicant: Duggan *et al.*  
Docket No: 1581.0580000/RWE/KKV  
M & S Ref. No: GWS/MRM/21094

FOR: The Speywood Laboratory Limited

SIGNATURE: \_\_\_\_\_

BY: \_\_\_\_\_

TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_

Applicant: Duggan *et al.*  
Docket No: 1581.0580000/RWE/KKV  
M & S Ref. No: GWS/MRM/21094

### Declaration for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled Conjugates of Galactose-Binding Lectins and Clostridial Neurotoxins as Analgesics, specification of which is attached hereto unless the following box is checked:

- ☒ was filed on October 7, 1998;  
as United States Application Number or PCT International Application Number PCT/GB98/03001  
was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application, which designated at least one country other than the United States listed below, and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed	
<u>9721189.0</u> (Application No.)	<u>Great Britain</u> (Country)	<u>October 8, 1997</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Application No.)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

_____ (Application No.)	_____ (Filing Date)
_____ (Application No.)	_____ (Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56 that became available between the filing date of the prior application and the national or PCT international filing date of this application.

_____ (Application No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)
_____ (Application No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)

Applicant: Duggan *et al.*  
Docket No: 1581.0580000/RWE/KKV  
M & S Ref. No: GWS/MRM/21094


Send Correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934

Direct Telephone Calls to:

(202) 371-2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	1-00	Michael John DUGGAN	
Signature of sole or first inventor	X		X 15 May 2000 Date
Residence		London, United Kingdom	GBX
Citizenship		United Kingdom	
Post Office Address		16A ST GEORGES DRIVE, LONDON SW1V 4BL <del>37, Thumbwood, Chineham, Basingstoke, Hampshire, RG24 8TE, United Kingdom</del>	
Full name of second inventor	7	John Andrew CHADDOCK	
Signature of second inventor			Date
Residence		Hampshire, United Kingdom	
Citizenship		United Kingdom	
Post Office Address		37, Thumbwood, Chineham, Basingstoke, Hampshire, RG24 8TE, United Kingdom	

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SKGF Rev. 9/2/98 mac

(Supply similar information and signature for subsequent joint inventors, if any)

21094  
#3

Applicant: Duggan *et al.*  
Docket No: 1581.0580000/RWE/KKV  
M & S Ref. No: GWS/MRM/21094

### Declaration for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled Conjugates of Galactose-Binding Lectins and Clostridial Neurotoxins as Analgesics, specification of which is attached hereto unless the following box is checked:

- ☒ was filed on October 7, 1998;  
as United States Application Number or PCT International Application Number PCT/GB98/03001  
was amended on \_\_\_\_\_ (if applicable).

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Prior Foreign Application(s)			Priority Claimed	
<u>9721189.0</u>	<u>Great Britain</u>	<u>October 8, 1997</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(Application No.)	(Country)	(Day/Month/Year Filed)		
_____	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Application No.)	(Country)	(Day/Month/Year Filed)		

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

_____	_____
(Application No.)	(Filing Date)
_____	_____
(Application No.)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56 that became available between the filing date of the prior application and the national or PCT international filing date of this application.

_____	_____	_____
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
_____	_____	_____
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)



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Full name of sole or first inventor	Michael John DUGGAN	Date
Signature of sole or first inventor		
Residence	London, United Kingdom	
Citizenship	United Kingdom	
Post Office Address	27a, Moreton Place, London, SW1V 2NL, United Kingdom	
Full name of second inventor	2-cv John Andrew CHADDOCK	
Signature of second inventor	X <i>J Chadlock</i>	X Date 17/4/00
Residence	Hampshire, United Kingdom C-BX	
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Post Office Address	<del>37, Thurnswood, Chichester, Basingstoke, Hampshire, RG24 8TE, United Kingdom</del> 43 BOURNE WAY, SALTSBURY, WILTS	
		SP2 ERW UK